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8	UNITED STATES DISTRICT COURTSUICALIFOLISM	
9	CABITO	NI VIII
10	FOR THE COUNTY OF SAN FRANCISCONO	ORTHERN DISTRICT OF CALIFORNI
$\begin{vmatrix} 11 \\ 12 \end{vmatrix}$	VISHAL SHAH and JAYDEN KIM, individually and on behalf of all others similarly situated,	Case No. <u>3:24-cv-01062-RFL</u>
13	Plaintiffs,	FIRST AMENDED CLASS ACTION COMPLAINT
14	V.	WIDN EDVAL DEMANDED
15	FANDOM, INC.,	JURY TRIAL DEMANDED
16	Defendant.	
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Plaintiffs Vishal Shah and Jayden Kim ("Plaintiffs"), individually and on behalf of all others similarly situated, by and through his their attorneys, makes the following allegations pursuant to the investigation of his their counsel and based upon information and belief, except as to allegations specifically pertaining to himself themselves and his their counsel, which are based on personal knowledge.

NATURE OF THE ACTION

- 1. Defendant Fandom, Inc. ("Defendant") owns and operates a website, Gamespot.com (the "Website" or "Gamespot").
- 2. When users visit the Website, Defendant causes two-three trackers—the GumGum Tracker, and Audiencerate Tracker, and TripleLift Tracker (the "Trackers")—to be installed on Website visitors' internet browsers. Defendant then uses these Trackers to collect Website visitors' IP addresses.
- 3. Because the Trackers capture Website visitors' "routing, addressing, or signaling information," the Trackers each constitute a "pen register" under Section 638.50(b) of the California Invasion of Privacy Act ("CIPA"). Cal. Penal Code § 638.50(b); *see also Greenley v. Kochava, Inc.*, 2023 WL 4833466 (S.D. Cal. July 27, 2023).
- 4. By installing and using the Trackers without Plaintiffs's prior consent and without a court order, Defendant violated CIPA <u>§-section</u> 638.51(a).
- 5. Plaintiffs brings this action to prevent Defendant from further violating the privacy rights of California residents, and to recover statutory damages for Defendant's violation of CIPA §section 638.51.

PARTIES

- <u>6.</u> Plaintiff Shah resides in Orange County, California and has an intent to remain there, and is therefore a citizen of California. Plaintiff Shah was in California when he visited the Website.
- 6.7. Plaintiff Kim resides in San Jose, California and has an intent to remain there, and is therefore a citizen of California. Plaintiff Kim was in California when he visited the Website.
- 7.8. Defendant Fandom, Inc. is a California Delaware company corporation, with its principal place of business located in California.

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JURISDICTION AND VENUE

8.9. This Court has subject matter jurisdiction over this action pursuant to Article VI, Section 10 of the California Constitution and Cal. Code Civ. Proc § 410.10. This action is brought as a class action on behalf of Plaintiff and Class Members pursuant to Cal. Code Civ. Proc. § 382.28 U.S.C. § 1332(d)(2)(a) because this case is a class action where the aggregate claims of all members of the proposed class are in excess of \$5,000,000.00 exclusive of interest and costs, there are over 100 members of the putative class, and at least one class member is a citizen of a different state than Defendant.

9.10. This Court has personal jurisdiction over Defendant because it is headquartered and incorporated in California.

10.11. Venue is proper <u>pursuant to 28 U.S.C. § 1391(b)</u> in this <u>County</u> because Defendant resides in this <u>CountyDistrict</u>.

FACTUAL ALLEGATIONS

I. THE CALIFORNIA INVASION OF PRIVACY ACT

11.12. The California Legislature enacted CIPA to protect certain privacy rights of California citizens. The California Legislature expressly recognized that "the development of new devices and techniques for the purpose of eavesdropping upon private communications ... has created a serious threat to the free exercise of personal liberties and cannot be tolerated in a free and civilized society." Cal. Penal Code § 630.

12.13. As relevant here, CIPA <u>§-section</u> 638.51(a) proscribes any "person" from "install[ing] or us[ing] a pen register or a trap and trace device without first obtaining a court order."

13.14. A "pen register" is a "a device or process that records or decodes dialing, routing, addressing, or signaling information transmitted by an instrument or facility from which a wire or electronic communication is transmitted, but not the contents of a communication." Cal. Penal Code § 638.50(b).

14.15. A "trap and trace device" is a "a device or process that captures the incoming electronic or other impulses that identify the originating number or other dialing, routing, addressing,

or signaling information reasonably likely to identify the source of a wire or electronic communication, but not the contents of a communication." Cal. Penal Code § 638.50(b).

15.16. In plain English, a "pen register" is a "device or process" that records *outgoing* information, while a "trap and trace device" is a "device or process" that records *incoming* information.

16.17. Historically, law enforcement used "pen registers" to record the numbers of outgoing calls from a particular telephone line, while law enforcement used "trap and trace devices" to record the numbers of incoming calls to that particular telephone line. As technology advanced, however, courts have expanded the application of these surveillance devices.

17.18. For example, if a user sends an email, a "pen register" might record the email address it was sent from, the email address the email was sent to, and the subject line—because this is the user's *outgoing* information. On the other hand, if that same user receives an email, a "trap and trace device" might record the email address it was sent from, the email address it was sent to, and the subject line—because this is *incoming* information that is being sent to that same user.

18.19. Although CIPA was enacted before the dawn of the Internet, "the California Supreme Court regularly reads statutes to apply to new technologies where such a reading would not conflict with the statutory scheme." *In re Google Inc.*, 2013 WL 5423918, at *21 (N.D. Cal. Sept. 26, 2013); *see also Greenley_supra*, 2023 WL 4833466, at *15 (referencing CIPA's "expansive language" when finding software was a "pen register"); *Javier v. Assurance IQ, LLC*, 2022 WL 1744107, at *1 (9th Cir. May 31, 2022) ("Though written in terms of wiretapping, [CIPA] Section 631(a) applies to Internet communications."). This accords with the fact that, "when faced with two possible interpretations of CIPA, the California Supreme Court has construed CIPA in accordance with the interpretation that provides the greatest privacy protection." *Matera v. Google Inc.*, 2016 WL 8200619, at *19 (N.D. Cal. Aug. 12, 2016).

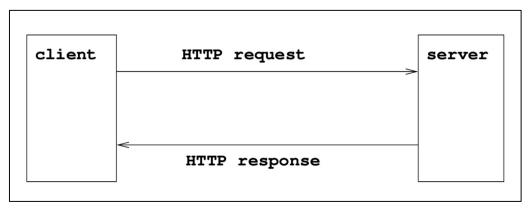
19.20. Individuals may bring an action against the violator of any provision of CIPA—including CIPA §-section 638.51—for \$5,000 per violation. Cal. Penal Code § 637.2(a)(1).

II. DEFENDANT VIOLATES THE CALIFORNIA INVASION OF PRIVACY ACT

A. The Trackers Are "Pen Registers"

20.21. To make Defendant's Website load on a user's internet browser, the browser sends an "HTTP request" or "GET" request to Defendant's server where the relevant Website data is stored. In response to the request, Defendant's server sends an "HTTP response" back to the browser with a set of instructions. A general diagram of this process is pictured at Figure 1, which explains how Defendant's Website transmits instructions back to users' browsers in response to HTTP requests. See Figure 1.

Figure 1:



<u>21.22.</u> The server's instructions include how to properly display the Website—*e.g.*, what images to load, what text should appear, or what music should play.

<u>22.23.</u> In addition, the server's instructions cause the Trackers to be installed on a user's browser. The Trackers then cause the browser to send identifying information—including the user's IP address—to GumGum, <u>and</u> Audiencerate, and TripleLift.

24. The IP address is a unique identifier for a device, which is expressed as four sets of numbers separated by periods (*e.g.*, 192.168.123.132). The first two sets of numbers indicate what network the device is on (*e.g.*, 192.168), and the second two sets of numbers identify the specific device (*e.g.*, 123.132).

25. Thus, the IP address enables a device to communicate with another device—such as a computer's browser communicating with a server—and the IP address contains geographical location.

23.—Through an IP address, the <u>specific</u> device's state, city, and zip code can be determined.

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- 27. Much like a telephone number, an IP address is a unique numerical code associated with a specific internet-connected device. Thus, knowing a user's IP address—and therefore geographical location—"provide[s] a level of specificity previously unfound in marketing." ¹
- 28. An IP address allows advertisers to (i) "[t]arget [customers by] countries, cities, neighborhoods, and ... postal code" and (ii) "to target specific households, businesses[,] and even individuals with ads that are relevant to their interests." Indeed, "IP targeting is one of the most targeted marketing techniques [companies] can employ to spread the word about [a] product or service" because "[c]ompanies can use an IP address ... to personally identify individuals."
- 29. For example, businesses who are trying to reach college-aged demographics can target devices on college campuses by sending advertisements to IP addresses associated with college-wide Wi-Fis.⁶ Or, for a job fair in specific city, companies can send advertisements to only those in the general location of the upcoming event.⁷
- 30. In addition to "reach[ing] their target audience with greater precision," businesses are incentivized to use a customer's IP address because it "can be more cost-effective than other forms of advertising." "By targeting specific households or businesses, businesses can avoid wasting money on ads that are unlikely to be seen by their target audience."

¹ IP Targeting: Understanding This Essential Marketing Tool, ACCUDATA, https://www.accudata.com/blog/ip-targeting/ (last visited April 2417, 2024).

² Location-based Targeting That Puts You in Control, CHOOZLE, https://choozle.com/geotargeting-strategies/ (last visited April 2417, 2024).

³ Herbert Williams, *The Benefits of IP Address Targeting for Local Businesses*, LINKEDIN (Nov. 29, 2023), https://www.linkedin.com/pulse/benefits-ip-address-targeting-local-businesses-herbert-williams-z7bhf.

⁴ IP Targeting: Understanding This Essential Marketing Tool, supra note 1.

⁵ Trey Titone, *The future of IP address as an advertising identifier*, AD TECH EXPLAINED (May 16, 2022), https://adtechexplained.com/the-future-of-ip-address-as-an-advertising-identifier/.

⁶ See, e.g., IP Targeting: Understanding This Essential Marketing Tool, supra note 1.

⁷ See, e.g., Personalize Your Website And Digital Marketing Using IP Address, GEOFLI, https://geofli.com/blog/how-to-use-ip-address-data-to-personalize-your-website-and-digital-marketing-campaigns (last visited April 2417, 2024).

⁸ Williams, *supra* note 3.

⁹ *Id*.

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31. In addition, "IP address targeting can help businesses to improve their overall marketing strategy." "By analyzing data on which households or businesses are responding to their ads, businesses can refine their targeting strategy and improve their overall marketing efforts." "11

24.32. As alleged below, Defendant installs each of the Trackers on the user's browser <u>for marketing and analytics purposes</u>, and the Trackers collect information—users' IP addresses—that identifies the outgoing "routing, addressing, or signaling information" of the user. Accordingly, the Trackers are each "pen registers."

1. GumGum Tracker

25.33. GumGum, Inc. ("GumGum") is a software-as-a-service company that develops the GumGum Tracker, which it provides to website owners, like Defendant, for a fee.

26.34. According to GumGum, it "delivers the next generation of contextual intelligence, industry leading ad creatives, and the ability to measure and optimize advertising campaigns to better understand a consumer's mindset that captures attention and drives action and outcomes." ¹²

27.35. In other words, GumGum enables companies to sell advertising space on their websites, thereby earning revenue, and allows companies to place advertisements on other companies' websites, thereby driving brand awareness and sales. To achieve this, GumGum uses its Tracker to receive, store, and analyze information collected from website visitors, such as visitors of Defendant's Website.

28.36. The first time a user visits Defendant's Website, the user's browser sends an HTTP request to Defendant's server, and Defendant's server sends an HTTP response with directions to install the GumGum Tracker on the user's browser. The GumGum Tracker, in turn, instructs the user's browser to send GumGum the user's IP address.

29.37. Moreover, GumGum stores a cookie with the user's IP address in the user's browser cache. When the user subsequently visits Defendant's Website, the GumGum Tracker locates the cookie identifier stored on the user's browser. If the cookie is stored on the browser, the GumGum

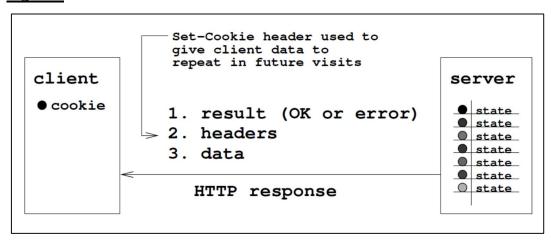
¹⁰ *Id*.

¹¹ *Id*.

¹² About, GUMGUM, https://gumgum.com/about (last visited Apr. 2Jan. 4, 2024).

Tracker causes the browser to send the cookie along with the user's IP address to GumGum. A general diagram of this process is pictured as Figure 2, which explains how the Website causes the GumGum Tracker to install a cookie on the user's browser and instructs the user's browser to send the user's IP address through the cookie. *See* Figure 2.

Figure 2:



30.38. If the user clears his or her cookies, then the user wipes out the GumGum Tracker from its cache. Accordingly, the next time the user visits Defendant's Website the process begins over again: (i) Defendant's server installs the GumGum Tracker on the user's browser, (ii) the GumGum Tracker instructs the browser to send GumGum the user's IP address, (iii) the GumGum Tracker stores a cookie in the browser cache, and (iv) GumGum will continue to receive the user's IP address on subsequent Website visits through with the cookie transmission. See Figures 3 and 4 (showing IP address being transmitted along with the cookie).

31. In all cases, however, GumGum receives a user's IP address each and every time a user interacts with the website of one of GumGum's clients, including Defendant's Website.

32. Indeed, a user's IP address is contained along with the cookie transmission to GumGum. See Figure 3.

Figure 3:

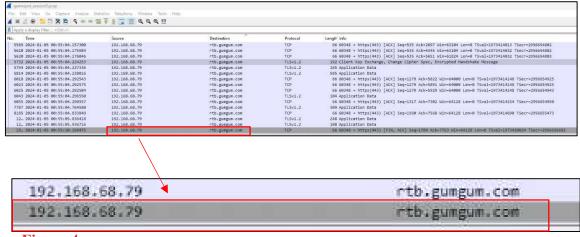
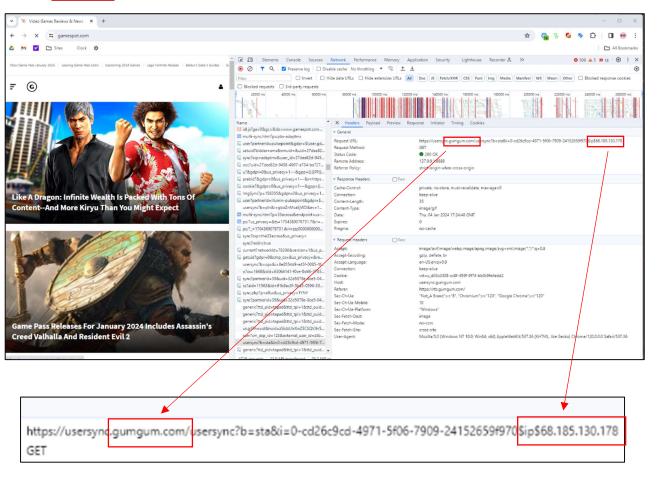


Figure 4:



33.39. The GumGum Tracker is at least a "process" because it is "software that identifies consumers, gathers data, and correlates that data." *Greenley*, *supra*, 2023 WL 4833466, at *15.

34.40. Further, the GumGum Tracker is a "device" because "in order for software to work, it must be run on some kind of computing device." *James v. Walt Disney Co.*, --- F. Supp. 3d ---,

2023 WL 7392285, at *13 (N.D. Cal. Nov. 8, 2023).

35.41. Because the GumGum Tracker captures the outgoing information—the IP address—from visitors to websites, it is a "pen register" for the purposes of CIPA section-638.50(b).

2. Audiencerate Tracker

36.42. Audiencerate LTD ("Audiencerate") is a software-as-a-service company that develops the Audiencerate Tracker, which it provides to website owners, like Defendant, for a fee.

37.43. According to Audiencerate, it "enable[s] data-driven advertising via [its] proprietary technology and platforms." 13

38.44. "One side of [Audiencerate's] business is dedicated to helping data owners monetize their data and license audiences in the world's largest programmatic media buying marketplaces. The other side provides targeting data to marketers, enabling them to model and target audiences with more complexity and sophistication." ¹⁴

39.45. Just like GumGum, Audiencerate uses its Tracker to receive, store, and analyze data sent collected from website visitors, such asincluding visitors of Defendant's Website.

40.46. As discussed above, the first time a user visits Defendant's Website, the user's browser sends an HTTP request to Defendant's server, and Defendant's server sends the HTTP response. This response also includes directions to install the Audiencerate Tracker on the user's browser. The Audiencerate Tracker, in turn, instructs the user's browser to send the user's IP address to Audiencerate.

41.47. Moreover, Audiencerate stores a cookie with the user's IP address in the user's browser cache. When the user subsequently visits Defendant's Website, the Audiencerate Tracker locates the cookie identifier stored on the user's browser. If the cookie is stored on the browser, the Audiencerate Tracker causes the browser to send the cookie along with the user's IP address to Audiencerate. A general diagram of this process is pictured as Figure 2, which explains how the Website causes the Audiencerate Tracker to install a cookie on the user's browser instructs the user's

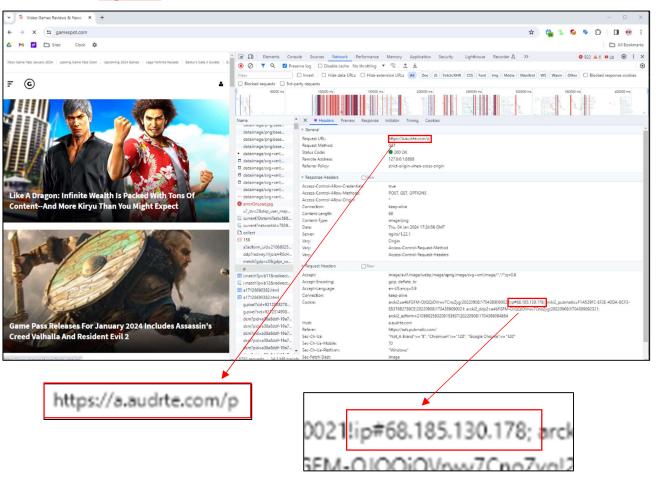
¹³ AUDIENCERATE, https://www.audiencerate.com/ (last visited <u>Apr. 24Jan. 4</u>, 2024).

¹⁴ AWS Enables Audiencerate to Process Over a Billion Requests per Week, AWS (2020), https://aws.amazon.com/solutions/case-studies/audiencerate-case-study/.

browser to send the user's IP address through the cookiesends the user's IP address through the cookie. See Figure 2, supra.

48. If the user clears his or her cookies, then the user wipes out the Audiencerate Tracker from its cache. Accordingly, the next time the user visits Defendant's Website, the process begins over again: (i) Defendant's server installs the Audiencerate Tracker on the user's browser, (ii) the Audiencerate Tracker instructs the browser to send Audiencerate the user's IP address, (iii) the Audiencerate Tracker stores a cookie in the browser cache, and (iv) Audiencerate will continue to receive the user's IP address through the cookie on each subsequent Website visits with the cookie transmission. See Figure 5 (showing IP address being transmitted along with the cookie).

42. **Figure 5:**



43. In all cases, however, Audiencerate receives a user's IP address each and every time a user interacts with the website of one of Audiencerate's clients, including Defendant's Website.

44.<u>49.</u> The Audiencerate Tracker is at least a "process" because it is "software that identifies

consumers, gathers data, and correlates that data." *Greenley, supra*, 2023 WL 4833466, at *15.

45.50. Further, the Audiencerate Tracker is a "device" because "in order for software to work, it must be run on some kind of computing device." *James, supra*, 2023 WL 7392285, at *13.

46. Because the Audiencerate Tracker captures the outgoing information—the IP address—from visitors to websites, it is a "pen register" for the purposes of CIPA section 638.50(b).

<u>51.</u>

- 3. TripleLift Tracker
- 52. TripleLift is a software-as-a-service company that develops the TripleLift Tracker, which it provides to website owners, like Defendant, for a fee.
- 53. According to TripleLift, its "technology powers ads that make advertising better for everyone—higher performing for brands, more lucrative for publishers and more respectful of the consumer's experience." ¹⁵
- 54. In other words, TripleLift enables companies to sell advertising space on their websites, thereby earning revenue, and allows companies to place advertisements on other companies' websites, thereby driving brand awareness and sales. To achieve this, TripleLift uses its Tracker to receive, store, and analyze information collected from website visitors, such as visitors of Defendant's Website.
- 55. The first time a user visits Defendant's Website, the user's browser sends an HTTP request to Defendant's server, and Defendant's server sends an HTTP response with directions to install the TripleLift Tracker on the user's browser. The TripleLift Tracker, in turn, instructs the user's browser to send TripleLift the user's IP address.
- 56. Moreover, TripleLift stores a cookie in the user's browser cache. When the user subsequently visits Defendant's Website, the TripleLift Tracker locates the cookie identifier stored on the user's browser. If the cookie is stored on the browser, the TripleLift Tracker causes the browser to send the cookie along with the user's IP address to TripleLift. A general diagram of this process is pictured as Figure 2, which explains how the Website causes the TripleLift Tracker to

¹⁵ Technology, TRIPLELIFT, https://triplelift.com/technology (last visited Apr. 24il 17, 2024).

install a cookie on the user's browser instructs the user's browser to send the user's IP address through the cookie. *See* Figure 2, *supra*.

57. If the user clears his or her cookies, then the user wipes out the TripleLift Tracker from its cache. Accordingly, the next time the user visits Defendant's Website the process begins over again: (i) Defendant's server installs the TripleLift Tracker on the user's browser, (ii) the TripleLift Tracker instructs the browser to send TripleLift the user's IP address, (iii) the TripleLift Tracker stores a cookie in the browser cache, and (iv) TripleLift will continue to receive the user's IP address on subsequent Website visits with the cookie transmission. See Figure 6 (showing IP address being transmitted along with the cookie).

Figure 6:

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58. The IP address in Figure 6 is written in Base64, which can easily be decoded on a website such as https://www.base64decode.com/ (i.e., aHR0cHM6Ly9wb3N0LnVwZGF0Z S4zbGlmdC5jb20vMi81OTY5MTgvaW1wcmVzc2lvbj90aT05NDkxODgyMTAzNjQ0MTI2MDg yMTcwJmNiPTE3MTA3ODE2NzMmaXA9MTI4LjIxMS4yNTUuMCZkdD01OTY5MTgxNTIw OTUxMzY3MzYxMDAwJnB2PWQ5YjRiNjYyLTI2ZmUtNDMwYy1hNmZiLTJjZDU4MmFiY WYyOY" translates to "128.211.255.0"). With an IP lookup, the user's IP address can be used to show the user's location.

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<u>59.</u>	The TripleLift	Tracker is at	t least a	"process"	because	it is	"software	that	identifies
	*			*					
consumers, g	athers data, and	correlates that	t data."	Greenley,	supra, 2	023	WL 48334	66, a	t *15.

- 60. Further, the TripleLift Tracker is a "device" because "in order for software to work, it must be run on some kind of computing device." *See*, *e.g.*, *James v. Walt Disney Co.*, --- F. Supp. 3d ---, 2023 WL 7392285, at *13 (N.D. Cal. Nov. 8, 2023).
- 61. Because the TripleLift Tracker captures the outgoing information—the IP address—from visitors to websites, it is a "pen register" for the purposes of CIPA section 638.50(b).

B. Defendant Installed And Used The Trackers On Plaintiffs' and Users' Website Visitors Browsers Without Prior Consent Or A Court Order

47.62. Defendant owns and operates the Website, Gamespot.com, which is a video gaming website that provides news, reviews, previews, downloads, and other information on video games.

48.63. When companies build their websites, they install or integrate various third-party scripts into the code of the website in order to collect data from users or perform other functions. ¹⁶

49.64. Often times, third-party scripts are installed on websites "for advertising purposes." 17

50.65. Further, "[i]f the same third-party tracker is present on many sites, it can build a more complete profile of the user over time." 18

51.66. Since at least June 2023, if not earlier, Defendant has long incorporated the code of the Trackers into the code of its Website. Thus, including when Plaintiffs and other users visited the Website. Thus, when Plaintiffs and other users visited the Website, the Website caused the Trackers to be installed on Plaintiffs's and other users' browsers.

52.67. As outlined above, when a user visits the Website, the Website's code—as programmed by Defendant—installs the Trackers onto the user's browser.

¹⁶¹⁶ See THIRD-PARTY TRACKING, https://piwik.pro/glossary/third-party-tracking/ ("Third-party tracking refers to the practice by which a tracker, other than the website directly visited by the user, traces or assists in tracking the user's visit to the site. Third-party trackers are snippets of code that are present on multiple websites. They collect and send information about a user's browsing history to other companies...").

¹⁷ *Id*.

¹⁸ *Id*.

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53.68. Upon installing the Trackers on its Website, Defendant uses the Trackers to collect the IP address of visitors to the Website, including the IP address of Plaintiffs and Class Members. See Figures 4.3-6.(GumGum Tracker) and 5 (Audiencerate Tracker) supra.

Figure 4:

Figure 5:

54.69. Defendant The operators of the Trackers then uses the IP address of Website visitors, including those of Plaintiffs and Class Members, to serve targeted advertisements and conduct website analytics.

55.70. At no time prior to the installation and use of the Trackers on Plaintiffs's and Class Members's browsers, or prior to the use of the Trackers, did Defendant procure Plaintiffs's and Class Members's consent for such conduct. Nor did Defendant obtain a court order to install or use the Trackers.

C. Defendant's Conduct Constitutes An Invasion Of Plaintiffs's And Class Members' Privacy

56.71. The collection of Plaintiffs's and Class Members' personally identifying, non-anonymized information through Defendant's installation and use of the Trackers constitutes an invasion of privacy.

57.72. As alleged herein, the Trackers are designed to analyze Website data and marketing campaigns, conduct targeted advertising, and boost Defendant's revenue, all through their surreptitious collection of Plaintiffs's and Class Members' data.

1. Defendant Discloses Uses The GumGum Tracker User's Data To GumGum For The Purposes Of Marketing, Advertising, And Analytics

58.73. GumGum is a digital advertising platform that prides itself on its "ability to measure and optimize advertising campaigns to better understand a consumer's mindset that captures attention and drives action and outcomes." ¹⁹

59.74. GumGum helps companies like Defendant market, advertise, and analyze user data from its website. One way GumGum assists with marketing and advertising is through its Ad

¹⁹ About, GUMGUM, https://gumgum.com/about (last visited Apr. 24,(last visited Jan. 3, 2024).

Exchange, which is a direct marketplace where publishers and advertisers can buy and sell digital advertising space.²⁰ Thus, when a user enters a website, GumGum enables companies to instantaneously buy and sell ad space in a way that it optimized to the particular user.

60.75. According to GumGum, it uses artificial intelligence to scan the information on a web page to "deliver ads that are always relevant and align with what users are watching, reading and browsing online." GumGum boasts that their "solution offers higher quality ads and increased scale across thousands of premium publisher sites" and "allow[s] advertisers to maximize their KPIs by targeting audience through customized segments such as multicultural and sustainability."

76. Notably, GumGum claims that it uses "cookieless targeting" to drive significant brand KPIs, thereby not collecting personal identifiable information. However, GumGum is setting a visitor cookie for the user session, which transmits a user's IP address and other pieces of information. See Figure 7.

61. GumGum also offers companies "_Attention Metrics," which analyzes "_the amount of time and focus an individual gives to a particular advertisement or piece of content." This allows companies to "_[t]arget consumers where they are most attentive, ensuring maximum performance and ad relevance for [its] brand." Thus, GumGum "_helps advertisers optimize ad delivery to places where consumer attention is highest ... [and] presents a wealth of opportunities to optimize campaign results [and] amplify brand lift." 26

62. In order to perform the functions listed above, GumGum needs to collect data that identifies a particular user. This is why GumGum collects IP addresses: it allows GumGum to

²⁰ Exchange, GUMGUM, https://gumgum.com/exchange (<u>last visited Apr. 24, 2024</u><u>last visited Jan. 3, 2024</u>).

²¹ Contextual vs. Behavioral Targeting, GUMGUM (Dec. 29, 2022), https://gumgum.com/blog/contextual-vs-behavioral-targeting.

²² GumGum Annoucnes Announces Industry's First 100% Brand Safe Ad Exchange, GUMGUM (March 15, 2023), https://gumgum.com/press-releases/brand-safe-exchange.

²³ Verity, GUMGUM, https://gumgum.com/verity (last visited Apr. 24Jan. 3, 2024).

²⁴ Attention, GUMGUM, https://gumgum.com/attention (last visited Jan. 3, 2024).

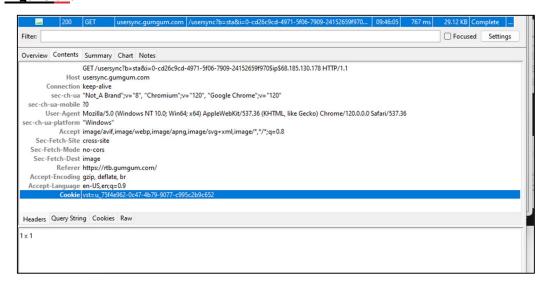
²⁵ Id.

 $^{^{26}}$ *Id.*

as to track a user's Website activity over time (i.e., through repeated Website visits) to target a user with advertisements relevant to the user's personal browsing activity.

63. Notably, GumGum claims that it uses ""eookieless targeting"" to drive significant brand KPIs, thereby not collecting personal identifiable information. ²⁷ However, GumGum is setting a visitor cookie for the user session, which transmits a user's IP addresses and other pieces of information. *See* Figure 6.

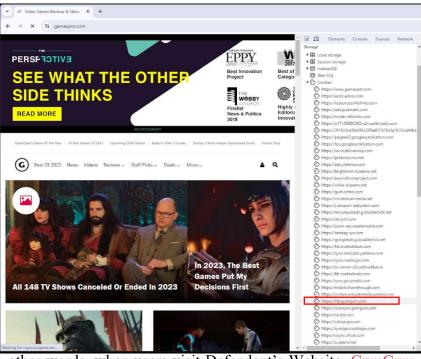
Figure 67:



64.77. Indeed, GumGum is actually listed as a cookie when using browser developer tools to examine the Website. See Figure $\frac{78}{2}$.

Figure 78:

²⁷ Verity, GUMGUM, https://gumgum.com/verity (last visited Jan. 3, 2024).



65.78. In other words, when users visit Defendant's Website, GumGum collects users' IP addresses through its GumGum Tracker Defendant utilizes the GumGum Tracker to collecting IP addresses so that Defendant can analyze user data, create and analyze the performance of marketing campaigns, and target specific users or specific groups of users for advertisements. All of this helps Defendant further monetize its Website and maximize revenue by allowing third parties to collect ing and disclosing user information.

2. Defendant Uses Discloses User's Data ToThe Audiencerate Tracker For The Purposes Of Marketing, Advertising, And **Analytics**

66.79. Whereas GumGum specifically enables advertisements on websites, Audiencerate is a data platform that helps ""distribute anonymously personally identifiable information-based and device-based segment data" for companies with audience-based marketing, advertising, and analysis purposes.²⁸

67.80. Companies such as Defendant share their users' data with Audiencerate through "daily synchronization" via the Audiencerate Tracker.²⁹ Audiencerate claims to anonymize the data

²⁸ Product Overview, AUDIENCERATE, https://app.audiencerate.com/doc/home (last visited Jan. 3, 2024).

²⁹ AUDIENCERATE, https://www.audiencerate.com/ (last visited Jan. 3, Apr. 24, 2024).

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and organizes it into segments.³⁰ Then, companies use the segmented data to run targeted campaigns and perform data analysis through Audiencerate's platform.³¹ *See* Figure 89.

Figure 89:



68.81. In addition to helping companies make better use of their own customer data, Audiencerate helps companies *sell* their customers' data to further "monetize data."³²

69.82. In order to perform the functions listed above, Audiencerate needs to collect data that identifies a particular user. This is why Audiencerate collects IP addresses: it allows Audiencerate to segment users in order to run targeted campaigns and perform data analysis.

83. In other words, companies like Defendant are allowing Audiencerate to collect users' data to increase Defendant's revenue, collecting users' data and sending it to Audiencerate for a profit, whether it is by optimizing marketing campaigns or by purely selling the data.

3. Defendant Uses The TripleLift Tracker For The Purposes Of Marketing, Advertising, And Analytics

84. TripleLift describes itself as a digital advertising platform that "work[s] for everyone: publishers who seek greater monetization, advertisers who require better performance, [and]

³⁰ Product Overview, AUDIENCERATE, https://app.audiencerate.com/doc/home (last visited Apr. 24Jan. 3, 2024).

³¹ *Id*.

³² Audiencerate partnership sees Sirdata integrated on Adform marketplace for the first time, SIRDATA (Dec. 10, 2020), https://news.sirdata.com/en/press-release-audiencerate-sirdata-partnership/.

consumers who want better ad experiences."33

85. TripleLift helps companies like Defendant market, advertise, and analyze user data from its website. For example, TripleLift enables publishers to place advertisements on their webpages, in videos, or embedded in broadcasts. To ensure that an effective advertisement is shown to the consumer, the publisher shares data about the user with TripleLift and TripleLift serves the targeted ad.³⁴

86. TripleLift also helps advertisers select where to place their ads through "TripleLift Audiences," which "span[s] third-party and first-party data." In other words, TripleLift utilizes third-party data, as well as data from the publisher where the ad is ultimately placed (*i.e.*, first-party), to determine where to place advertisers' ads and who to place them in front of.

87. By way of example, if a home-goods brand wants to use TripleLift to serve its ads, it can purchase TripleLift's "Home Curated Deal" to reach "people who are investing their time and money close to home." By choosing this set of data, the home-goods brand will be able to target "audiences spending time on home improvement, home entertaining, outfitting their setups, browsing real estate, raising kids and adopting pets." This data set can be used for ads in the "Native, Display and Video" formats, "in placements known to deliver high viewability and high video completion rates." TripleLift ensures that the data sets "are refreshed on an on-going basis so that only the highest performing placements are included."

88. In other words, when users visit Defendant's Website, TripleLift collects users' IP addresses through its TripleLift Tracker so that Defendant can analyze user data, create and analyze

³³ Who We Are, TRIPLELIFT, https://triplelift.com/company (last visited April 2417, 2024).

³⁴ See Smart Data & Targeting For Publishers, TRIPLELIFT,

https://triplelift.com/products/audiences-publishers (last visited April 2417, 2024).

³⁵ Smart Data & Targeting For Advertisers, TRIPLELIFT, https://triplelift.com/products/audiences-advertisers (last visited April 2417, 2024).

³⁶ HOME, TRIPLELIFT, https://triplelift.com/exchange-traded-deals/home (last visited April 2417, 2024).

 $^{26 \}parallel ^{37} Id.$

 $\frac{38}{10}$ Id.

³⁹ *Id*.

the performance of marketing campaigns, and target specific users or specific groups of users for advertisements. All of this helps Defendant further monetize its Website and maximize revenue by allowing third parties to collect user information.

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III. PLAINTIFFS'S EXPERIENCE

1. Plaintiff Shah

71.89. Plaintiff Shah has visited the Website multiple times—including as long ago as June 2023 and as recently as January 2024—on his desktop browser.

72. When Plaintiff Shah visited the Website, the Website's code—as programmed by Defendant—caused the GumGum and Audiencerate Trackers to be installed on Plaintiff Shah's browser. Defendant, GumGum, and Audiencerate, then used the Trackers to collect Plaintiff Shah's IP address. See Figures 9-10 (GumGum Tracker) and 110 (Audiencerate Tracker).

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Figure 910:

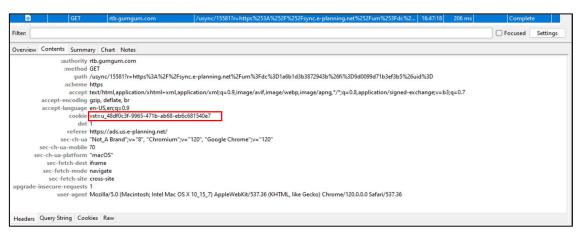
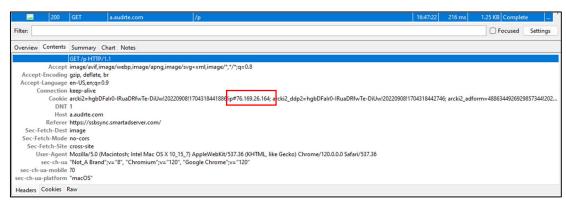


Figure 101:



91. Because Plaintiff Shah had previously visited the Website but not cleared his cookies
at the time the data in Figure <u>8-10</u> was collected, Plaintiff <u>Shah</u> 's IP address was sent to GumGum
via the GumGum cookie, as opposed to being sent as standalone data as it would have been on
Plaintiff Shah's first visit to the Website. However, as noted above, the IP address is transmitted
within the cookie. See Figures 7 and 38, supra.

- 92. Defendant, GumGum, and Audiencerate used the information collected by the Trackers to analyze Website data and marketing campaigns, conducted targeted advertising based on Plaintiff Shah's location, and ultimately boost Defendant's and advertisers' revenue.
- 93. Plaintiff Shah did not provide his prior consent to Defendant to install or use the GumGum or Audiencerate Trackers on his browser.
- 94. Defendant did not obtain a court order before installing or using the GumGum or Audiencerate Trackers.
- 95. Plaintiff Shah has, therefore, had his privacy invaded by Defendant's violations of CIPA section 638.51(a).
 - 2. Plaintiff Kim
- 96. Plaintiff Kim has visited the Website multiple times—including as long ago as July 2023 and as recently as December 2023—on his desktop browser.
- 97. When Plaintiff Kim visited the Website, the Website's code—as programmed by Defendant—caused the TripleLift Tracker to be installed on Plaintiff Kim's browser. Defendant and TripleLift then used the Tracker to collect Plaintiff Kim's IP address. *See* Figure 6, *supra*. 40

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74.98. Defendant_, GumGum, and Audiencerateand TripleLift used the information collected by the TripleLift Trackers to analyze Website data and marketing campaigns, conduct targeted advertising, and ultimately boost Defendant's and advertisers' revenue.

75.99. Plaintiff <u>Kim</u> did not provide <u>his his</u> prior consent to Defendant to install or use the <u>TripleLift</u> Trackers on Plaintiff <u>Kim</u>'ss browser.

⁴⁰ At the time Plaintiff Kim retained counsel and did confirmatory testing, he was located in Indiana. However, during the time relevant to the Complaint, Plaintiff Kim was located in California, as alleged above.

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76.100. Defendant did not obtain a court order before installing or using the <u>TripleLift</u> Trackers.

101. Plaintiff Kim hashas, therefore, had his his privacy invaded by Defendant's violations of CIPA section § 638.51(a).

3. Class Members

102. Although Defendant uses at least three different Trackers on the Website (GumGum, Audiencerate, and TripleLift), they all operate in the same manner and perform the same function, *i.e.*, collecting Plaintiffs' and Class Members' IP addresses. Thus, at any given time a user visits the Website, Defendant will cause one of the Trackers to be installed on users' browsers for the purpose of collecting IP addresses.

103. Plaintiffs and Class Members did not provide their prior consent to Defendant to install or use the Trackers on their browsers.

104. Defendant did not obtain a court order before installing or using the Trackers.

77.105. Thus, like Plaintiffs, Class Members have also had their privacy invaded by Defendant's violations of CIPA section 638.51(a).

CLASS ALLEGATIONS

78.106. Pursuant to Cal. Code Civ. Proc. § section 382, Plaintiffs seeks to represent a class defined as all California residents who accessed the Website in California and had their IP address collected by the Trackers (the "Class").

79:107. The following people are excluded from the Class: (i) any Judge presiding over this action and members of her or her family; (ii) Defendant, Defendant's subsidiaries, parents, successors, predecessors, and any entity in which Defendant or their parents have a controlling interest (including current and former employees, officers, or directors); (iii) persons who properly execute and file a timely request for exclusion from the Class; (iv) persons whose claims in this matter have been finally adjudicated on the merits or otherwise released; (v) Plaintiffs's counsel and Defendant's counsel; and (vi) the legal representatives, successors, and assigns of any such excluded persons.

Numerosity: The number of people within the Class is substantial and believed to amount to thousands, if not millions of persons. It is, therefore, impractical to join each member of the Class as a named plaintiff. Further, the size and relatively modest value of the claims of the individual members of the Class renders joinder impractical. Accordingly, utilization of the class action mechanism is the most economically feasible means of determining and adjudicating the merits of this litigation. Moreover, the Class is ascertainable and identifiable from Defendant's records.

S1.109. Commonality and Predominance: There are well-defined common questions of fact and law that exist as to all members of the Class and that predominate over any questions affecting only individual members of the Class. These common legal and factual questions, which do not vary between members of the Class, and which may be determined without reference to the individual circumstances of any Class Member, include, but are not limited to, the following:

- (a) Whether Defendant violated CIPA §section 638.51(a);
- (b) Whether the Trackers are "pen registers" pursuant to Cal. Penal Code section§ 638.50(b);
- (c) Whether Defendant sought or obtained prior consent—express or otherwise—from Plaintiffs and the Class;
- (d) Whether Defendant sought or obtained a court order for its use of the Trackers; and
- (e) Whether Plaintiffs and members of the Class are entitled to actual and/or statutory damages for the aforementioned violations.

Typicality: The claims of the named Plaintiffs are typical of the claims of the Class because the named Plaintiffs, like all other members of the Class Members, visited the Website and had his their IP addresses collected by the Trackers, which were installed and used by Defendant.

Adequate Representation: Plaintiffs is are an adequate representatives of the Class because his their interests do not conflict with the interests of the Class Members he they seeks to represent, he they hasve retained competent counsel experienced in prosecuting class actions, and he they intends to prosecute this action vigorously. The interests of members of the Class will be fairly and adequately protected by Plaintiffs and his their counsel.

Superiority: The class mechanism is superior to other available means for the fair and efficient adjudication of the claims of members of the Class. Each individual member of the Class may lack the resources to undergo the burden and expense of individual prosecution of the complex and extensive litigation necessary to establish Defendant's liability. Individualized litigation increases the delay and expense to all parties and multiplies the burden on the judicial system presented by the complex legal and factual issues of this case. Individualized litigation also presents a potential for inconsistent or contradictory judgments. In contrast, the class action device presents far fewer management difficulties and provides the benefits of single adjudication, economy of scale, and comprehensive supervision by a single court on the issue of Defendant's liability. Class treatment of the liability issues will ensure that all claims and claimants are before this Court for consistent adjudication of the liability issues.

CAUSES OF ACTION

COUNT I

Violation Of The California Invasion Of Privacy Act, Cal. Penal Code § 638.51(a)

Plaintiffs repeats the allegations contained in the foregoing paragraphs as if fully set forth herein.

86.114. Plaintiffs brings this claim individually and on behalf of the members of the proposed Class against Defendant.

87.115. CIPA § section 638.51(a) proscribes any "person" from "install[ing] or us[ing] a pen register or a trap and trace device without first obtaining a court order."

88.116. A "pen register" is a "a device or process that records or decodes dialing, routing, addressing, or signaling information transmitted by an instrument or facility from which a wire or electronic communication is transmitted, but not the contents of a communication." Cal. Penal Code § 638.50(b).

89.117. The Trackers are "pen registers" because they are "device[s] or process[es]" that "capture[d]" the "routing, addressing, or signaling information"—the IP address—from the electronic communications transmitted by Plaintiffs's and the Class's computers or smartphones. Cal. Penal Code § 638.50(b).

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90.118. At all relevant times, Defendant installed the Trackers—which are pen registers—on Plaintiffs's and Class Members' browsers, and used the Trackers to collect Plaintiffs's and Class Members' IP addresses.

91.119. The Trackers do not collect the content of Plaintiffs's and the Class's electronic communications with the Website. *In re Zynga Privacy Litig.*, 750 F.3d 1098, 1108 (9th Cir. 2014) ("IP addresses constitute addressing information and do not necessarily reveal any more about the underlying contents of communication...") (cleaned up).

92.120. Plaintiffs and Class Members did not provide their prior consent to Defendant's installation or use of the Trackers.

93.121. Defendant did not obtain a court order to install or use the Trackers.

Pursuant to Cal. Penal Code <u>§ section</u> 637.2, Plaintiff<u>s</u> and Class Members have been injured by Defendant's violations of CIPA <u>§ section</u> 638.51(a), and each seeks statutory damages of \$5,000 for each of Defendant's violations of CIPA <u>§ section</u> 638.51(a).

PRAYER FOR RELIEF

WHEREFORE, Plaintiffs, individually and on behalf of all others similarly situated, seeks judgment against Defendant, as follows:

- (a) For an order certifying the Class, naming Plaintiffs as the representatives of the Class, and naming Plaintiffs's attorneys as Class Counsel to represent the Class;
- (b) For an order declaring that Defendant's conduct violates the statutes referenced herein;
- (c) For an order finding in favor of Plaintiffs and the Class on all counts asserted herein;
- (d) For statutory damages of \$5,000 for each violation of CIPA \section 638.51(a);
- (e) For pre- and post-judgment interest on all amounts awarded;
- (f) For an order of restitution and all other forms of equitable monetary relief; and
- (g) For an order awarding and the Class their reasonable attorney's fees and expenses and costs of suit.

DEMAND FOR JURY TRIAL DEMANDED Plaintiffs demands a trial by jury of any and all issues in this action so triable of right. Dated: April 29, 2024 Respectfully submitted, **BURSOR & FISHER, P.A.** By: /s/ L. Timothy Fisher— Emily-L. Timothy FisherA. Horne L. Timothy Fisher (State Bar No. 191626) Emily A. Horne (State Bar No. 347723) 1990 North California Blvd., Suite 940 Walnut Creek, CA 94596 Telephone: (925) 300-4455 Facsimile: (925) 407-2700 E-mail: ltfisher@bursor.com ehorne@bursor.com Attorneys for Plaintiffs